

## Widely Tunable Laser

### Related Applications

The present invention is related to, and claims priority from, Provisional Applications  
5 S.N. 60/154,899 filed on 9/20/99; and S.N. 60/167,951 filed on 11/29/1999; and S.N.  
60/167,937 filed on 29 November 1999, which are incorporated herein by reference.

### Field of the Invention

The present invention is applicable to the field of tunable lasers and is more specifically  
10 applicable to a tunable laser for use in telecommunications.

### Introduction

In telecommunications networks that utilize wavelength division multiplexing (WDM),  
widely tunable lasers enable transmission of information at different wavelengths. Many  
15 proposed network configurations require transmitters that can be tuned to transmit at any of N  
distinct wavelengths. Even in networks where the individual transmitter wavelengths are held  
fixed, tunable sources are desirable for maintaining stability of the wavelength. Also, because  
the same part can be used for any channel, a tunable transmitter is useful from an inventory  
control perspective.

20 One prior art tunable laser design uses an external optical cavity, which is illustrated in US  
Patent 5,771,252. A basic configuration from Patent 5,771,252 is shown in Figure 1 of the  
present application. Figure 1 shows a laser diode used in combination with a diffraction grating  
and rotating mirror to form an external optical cavity. In this configuration the grating is fixed.  
As the mirror is rotated, the light propagating within the optical cavity is fed back to the laser  
25 diode. The feedback causes the laser diode to "lase" with a changeable frequency that is a  
function of the rotation angle of the mirror. Unless accounted for, the frequency of the laser  
may "mode hop" due to the distinct, spatial longitudinal modes of the optical cavity. It is  
desirable that the longitudinal mode spectrum of the output beam of the laser diode change  
without discontinuities. This condition may be satisfied by careful selection of the pivot point  
30 about which the mirror is rotated, whereby both the optical cavity length and the grating  
feedback angle can be scanned such that the single pass optical path length of the external

optical cavity is equal to the same number of half-wavelengths available across the tuning range of the laser cavity. If this condition is satisfied, rotation of the mirror will cause the frequency of the output beam to change without discontinuities and at a rate corresponding to the rotation of the mirror. U.S. Patent 5,319,668 also describes a tunable laser. Both Patent 5,771,252 and  
5 Patent 5,319,668 disclose an expression for an optical cavity phase error, which represents the deviation in the number of wavelengths in the cavity from the desired constant value as a function of wavelength. The expression for optical cavity phase error includes terms related to the dispersion of the laser and other optical elements. Patent 5,771,252 teaches a pivot point whereby the cavity phase error and its first and second derivatives with respect to the  
10 wavelength all go to zero at the center wavelength. For all practical purposes, the two methods describe the same pivot point.

The grating-based external cavity tunable laser (ECLs) of 5,771,252 is a relatively large, expensive device that is not suitable for use as a transmitter in a large-scale WDM network. Because of the size and distance between components, assembly and alignment of the prior art  
15 ECL above is difficult to achieve. Known prior art ECLs use stepper motors for coarse positioning and piezoelectric actuators for fine positioning of wavelength selective components. Because piezoelectric actuators exhibit hysteresis, precise temperature control is needed. In addition, prior art ECL lasers are not robust in the presence of shock and vibration.

Another prior art tunable laser design utilizes a Vertical-Cavity Surface-Emitting Laser  
20 (VCSEL). In one embodiment of this device, a MEMS (micro-electro-mechanical-system) mirror device is incorporated into the structure of the VCSEL and is used to tune the wavelength of the laser. Wide tuning range has been demonstrated in such devices for operation around 830 nm, but so far, the development of a reliable, high performance VCSEL at 1550 nm has proved elusive. This device is very difficult to build because the MEMS device  
25 must be physically incorporated into the structure of the VCSEL. Furthermore, development of the MEMS actuators in InP-based materials is a formidable challenge.

In other prior art, angular motors have been used in angular gyroscopes and as fine tracking servo actuators for magnetic heads for disk drives. In "Angular Micropositioner for Disk Drives," D. A. Horsley, A. Singh, A.P. Pisano, and R. Horowitz, Proceedings of the 10<sup>th</sup> Int. Workshop on  
30 Micro Electro Mechanical Systems, 1997, p. 454-458, a deep polysilicon device is described with radial flexures extending from a central fixed column, and radial, parallel plate electrodes that effect

rotation of less than 0.5 degree. Batch Fabricated Area Efficient Milli-Actuators, L.-S. Fan, et. al., Proceedings 1994 Solid State Sensor and Actuator Workshop, Hilton Head, p. 38-42 shows a rotary flexural actuator with what appears to be 2 central flexures from central supports; the rotational range is not given but appears to be small. Dual Axis Operation of a Micromachined Rate Gyroscope, T. Juneau, A.P. Pisano, and J.H. Smith, Proceedings 1997 Int. Conf. On Solid State Sensors and Actuators, V.2, pp. 883-890 describes a polysilicon, surface micromachined gyro, which has 4 radial springs supporting a central circular mass. The springs are supported on the outside, and have a small strain relief feature. The angular drive range is not specified, but appears to be small. All of these prior art devices provide limited angular range. These prior art devices completely fill a circular area in a plan view, thus making it difficult or impossible to arrange such an actuator to provide a remote pivot location, as is required by ECLs.

Tunable Distributed Bragg Reflector (DBR) lasers are currently commercially available, however, these lasers have a limited tuning range. Total tuning of about 15 nm and continuous tuning without mode hops over about 5 nm range is typical.

A tunable laser based on sampled grating DBR technology is presently available. The DBR device is tunable over about 50 nm, but the fabrication is difficult and the control electronics are complex, requiring four different control currents.

Another prior art approach to making a tunable laser is to fabricate multiple Distributed Feedback (DFB) lasers on a single chip and couple them together with an arrayed waveguide structure. Each DFB is fabricated with a slightly different grating pitch so that each lases at a slightly different wavelength. Wavelength tuning is accomplished by activating the laser that matches the particular wavelength of interest. The main problems with this approach are cost and insertion loss. Furthermore, fabrication of multiple lasers on the same chip with different operating wavelengths may require direct e-beam writing of the gratings. Also, if one wants to cover a very wide tuning range, the number of lasers required is prohibitively large. Additionally, the multiple laser approach is lossy because coupling N lasers together into one output waveguide results in an efficiency proportional to  $1/N$ .

What is needed, therefore, is a tunable laser that provides advantages over the prior art.

## Summary of the Invention

The present invention comprises a tunable laser assembly. Advantages derived from the present invention include: the ability to use commonly available inexpensive Fabry-Perot (FP) laser diodes; high operating frequencies; reduced size and mass, thermal and mechanical stability; precise alignment of optical components made simple by use of photolithographically-defined features in silicon, high production yields; and simple output frequency control schemes.

The present invention may comprise a tunable laser, including: a source means for providing a light along an optical path with any wavelength selected from a continuous bandwidth of wavelengths; a diffractive element positioned in the optical path and from the source by a first distance to redirect the light; a reflective element positioned in the optical path and from the diffractive element by a second distance to receive the redirected light from the diffractive element, and the reflective element positioned in the optical path and from the diffractive element by the second distance to redirect the light towards the diffractive element; the diffractive element positioned in the optical path and from the source by the first distance to re-direct the light towards the source; and a micro-actuator means for selecting the wavelength from the continuous range of wavelengths by altering the optical path of the light.

The present invention may comprise a laser assembly that includes a source for providing a light along an optical path with any wavelength from a continuous range of wavelengths; a diffractive element positioned in the optical path and from the source by a first distance to redirect the light; a reflective element positioned in the optical path and from the diffractive element by a second distance to receive the redirected light from the diffractive element, and the reflective element positioned in the optical path and from the diffractive element by the second distance to redirect the light towards the diffractive element; the diffractive element positioned in the optical path and from the source by the first distance to re-direct the light towards the source; and a micro-actuator for selecting the wavelength from the continuous range of wavelengths by altering the optical path of the light.

The first distance and the second distance may define an optical path length between the source and the reflective element measured in wavelengths, and wherein the optical path length remains constant over the continuous range of wavelengths.

The micro-actuator may be coupled to the reflective element to displace the reflective element. The displacement may comprise an angular displacement. The angular displacement may occur about a virtual pivot point. The displacement may comprise a translation and a rotation. The micro-actuator may comprise a micro-machined actuator. The micro-machined actuator may be coupled to the reflective element. The reflective element may comprise a retro-reflector. The continuous range of wavelengths may comprise from about 1520nm to about 1560nm. The wavelength may be about 1540nm. The source may comprise a Fabry-Perot laser.

The present invention may also comprise a tunable laser, including: a source means for providing a light along an optical path with any wavelength selected from a continuous bandwidth of wavelengths; a diffractive element positioned in the optical path and from the source by a first distance to redirect the light; a reflective element positioned in the optical path and from the diffractive element by a second distance to receive the redirected light from the diffractive element, and the reflective element positioned in the optical path and from the diffractive element by the second distance to redirect the light towards the diffractive element; the diffractive element positioned in the optical path and from the source by the first distance to re-direct the light towards the source; and a micro-actuator means for selecting the wavelength from the continuous range of wavelengths by altering the optical path of the light.

The present invention may also comprise a method for providing light with any wavelength selected from a continuous range of wavelengths, including the following steps: providing the light along an optical path; providing a diffractive element in optical path to diffract the light; providing reflective element in the optical path to reflect the light; and selecting a particular wavelength of light from the continuous range of wavelengths by altering the optical path through displacement of a micro-actuator.

The method may also include the step of displacing the reflective element with the micro-actuator to alter the optical path.

The method may also include the step of displacing the reflective element by a translation and a rotation.

The method may also include the step of displacing the micro-actuator about a virtual pivot point.

The method may also include the step of selecting the particular wavelength from a continuous range of wavelengths comprising the range from about 1520nm to 1560nm.

## **Brief Description of the Figures**

Figure 1 shows a prior art tunable laser design;

Figure 2 shows a MEMS based widely tunable external cavity laser of the present invention;

5 Figure 3 shows an alternative embodiment of the present invention;

Figure 4 shows the use of an electro-absorptive modulator in an embodiment of the present invention;

Figure 5 shows an embodiment in which a PSD is used;

Figure 6 shows a second embodiment in which a PSD is used;

10 Figure 7 shows a third embodiment in which a PSD is used;

Figure 8 shows an embodiment in which a wavelength locker is used;

Figure 9 shows a second embodiment in which a wavelength locker is used;

Figure 10 shows a third embodiment in which a wavelength locker is used;

Figure 11 shows a mask layout of the actuator of the present invention;

15 Figure 12 shows another mask layout of the actuator of the present invention;

Figure 13 shows a 3d view of the present invention; and

Figure 14 shows a module incorporating the present invention.

## Description of the Invention

Referring now to Figure 2, there is seen a preferred embodiment of a micro-electro-mechanical-system (MEMS) based widely-tunable external cavity laser (ECL) of the present invention. Advantages of the present invention over that of the prior art that will be apparent from the description provided below include: the ability to use commonly available inexpensive Fabry-Perot (FP) laser diodes; high operating frequencies; wide operating bandwidth; reduced size and mass, thermal and mechanical stability; precise alignment of optical components made simple by use of photolithographically-defined features in a silicon substrate, high production yields; and simple output frequency control schemes. Other advantages will become apparent from a reading of the following description of the present invention.

In the preferred embodiment, a widely-tunable laser (ECL) **100** of the present invention includes a laser **101**, a collimating lens **102**, a diffraction grating **103**, a reflector **104**, and a MEMS based actuator **105**. In the preferred embodiment, the actuator **105** is a rotary actuator, the laser **101** is a Fabry-Perot laser diode, and the reflector **104** is a retro-reflector. The reflector **104** utilizes a high reflectivity coating on its surface, and the laser **101** utilizes a high-reflectivity coating on its rear facet and an anti-reflection coating on its front facet. In the preferred embodiment, the grating **103** is replicated in glass. The present invention utilizes because the provide several advantages compared to traditional polymer gratings, including: thermal stability; replication and stability using thin substrates; and the ability to be handled, diced, cleaned, etc.

In the present invention, light from the laser **101** is directed through the lens **102** towards the grating **103**, by the grating **103** towards the reflector **104**, by the reflector **104** back towards the grating **103**, and by the grating **103** back towards the laser **101**. The optical path traversed by the light from the laser **101** forms an external cavity, which causes an output beam **150** of the laser **101** to lase at a particular wavelength that is a function of the rotation angle of the reflector **104**. In the exemplary embodiment, the ECL **100** can be tuned over +/- 26 nm with +/- 2 degrees of motion of the actuator **105**. For optimum performance of the ECL **100**, it is desired that the wavelength of the output beam **150** be continuously tunable (i.e., no mode hops occur as the laser **101** is tuned over a range of wavelengths). This condition can be satisfied by selecting a virtual pivot point **108** about which the reflector **104** rotates and/or translates, such that an optical path length of the cavity formed between a rear facet of the laser **101** and the reflector **104** measured in wavelengths remains



constant over the desired tuning range. U.S. Patents 5,319,668 and 5,771,252 disclose methods for calculating a pivot point and are incorporated herein by reference. The two calculations made in these two prior art patents result in pivot point locations that differ by 40 nm. The calculations used in both of these patents are applicable to the present invention because the component and manufacturing tolerances of the present invention are greater than 40 nm. In fact, adequate performance of the present invention may be obtained by choosing a pivot point such that the cavity phase error and only the first derivative go to zero at the center wavelength. This condition gives an approximate location for the pivot point. During assembly, the tuning performance of the present invention can be measured, and the pivot point **108** adjusted in a manner described in further detail below. The virtual pivot point of the present invention allows for a compact geometry and results in a lower-cost device with better optical performance than if a real pivot point was used. Better optical performance is achieved because the compact geometry results in greater spacing of the external optical cavity modes and greater side-mode suppression.

In an exemplary embodiment, the optical path length of the external cavity (a sum of the optical distance between the front facet of the laser **101**, the grating **103**, and the front of the reflector **104**) is approximately 5 mm; and the center wavelength, grating pitch, angle of incidence, and diffraction angle of the grating **103** are 1540 nm, 1050 lines/mm, 85 degrees, and 38 degrees, respectively. Although the overall tuning range of the ECL **100** is a function of the width of the gain curve of the laser **101**, which in the preferred embodiment of the present invention can be tuned over a range on the order of 40 nm, it is understood that a much broader gain profile may be achievable using, for example, a Fabry-Perot strongly-pumped quantum-well laser design, referenced in *Electronics Letters*, Vol. 26, No. 11, pp. 742-743, "External Grating Laser With Wide Tuning Range of 240nm," by Epler *et al.* In the present invention, single-mode operation occurs when the spacing of the external cavity modes are greater than the linewidth of the grating **103**. The linewidth of the grating **103** is determined by the angle of incidence and by the beam size. In an exemplary embodiment, the grating **103** linewidth is about 21 GHz and the external cavity modes are spaced by about 30 GHz. The ultimate linewidth is determined by the external cavity mode spacing and by the quality of the external cavity. In the exemplary embodiment, with high reflectivity coatings on the reflector **104** and on the rear facet of the laser **101**, the linewidth is less than 1 Mhz.

Referring now to Figure 3, and preceding figures and descriptions as needed, there is seen one alternative of the present invention. The present invention identifies that for high data rate telecommunications applications, the output beam **150** of the ECL **100** of Figure 2 could be modulated directly by varying the laser **101** current in accordance with the data stream to be transferred. The present invention identifies that long external optical cavity lengths make it more difficult to modulate the ECL **100** at very high frequencies and that it is, therefore, desirable to keep the external optical cavity length of the ECL as short as possible. As illustrated in Figure 3, it is envisioned that the present invention could be implemented in an alternative embodiment in which the actuator **104** is used to displace the grating **103**. In the alternative embodiment of Figure 3, it is understood that because the grating **103** provides the reflective function of the reflector **103**, the reflector need not be used and the optical cavity length can be reduced over that of the preferred embodiment of Figure 2. However, it is identified that in the alternative embodiment of Figure 3, single-mode operation of the laser **101** is more difficult to achieve than in the preferred embodiment because there is only a single-pass reflection of the output beam **150** from the grating.

In another alternative embodiment, a Fabry-Perot laser **101** with as high a relaxation oscillation frequency as possible could be used to achieve high data transfer rates. In this embodiment, the laser should preferably maximize the differential gain, maximize the internal photon density, and minimize the photon lifetime. Multiple-Quantum-Well (MQW) lasers provide these characteristics and have been demonstrated to operate with modulation bandwidths well in excess of 10 GHz. See for example *IEEE Photonics Technology Letters*, Vol. 9, No. 3, pp. 306-308, "24-GHz Modulation Bandwidth and Passive Alignment of Flip-Chip Mounted DFB Laser Diodes", by Lindgren, *et al.* With this approach, direct modulation of the ECL **100** as high as 2.5 Gb/sec should be possible.

In yet another alternative embodiment, the ECL **100** could be designed to operate at frequencies corresponding to multiples of longitudinal mode spacing (i.e., multiples greater than the relaxation oscillation frequency). This approach would have the drawback of decreasing the mode spacing and increasing the overall size of the ECL **100**.

Referring now to Figure 4, and preceding figures and descriptions as needed, there is seen an integrated electroabsorptive modulator as used in a preferred embodiment of the present invention. In an alternative embodiment, the present invention identifies that an

electroabsorptive (EA) modulator could also be used to achieve high data transfer rates. At high data rates, however, a decrease in laser modulation response occurs. This decrease can be understood by considering the characteristic lifetimes of photons. Photon lifetime for the laser **101** is given by  $1/(c \cdot \alpha)$ , where  $\alpha$  is the total loss distributed over the equivalent free-space cavity. In a solitary laser, a photon spends all its time in a highly absorbing medium so that the photon lifetime is short. In the ECL **100**, the photon spends a large fraction of the time in lossless free-space, so the lifetime is proportionally longer. When modulating the ECL **100** at high frequency, it is desirable that the photons disappear when the current is turned off, but, this does not happen fast enough when the photon lifetime is long. The present invention identifies that if short photon lifetime is desired, the EA modulator could be positioned in the external optical cavity as shown in Figure 4. An advantage with this approach is that the EA modulator can be fabricated on the same chip as the laser **101**. Because the EA modulator absorbs photons at a speed corresponding to its modulation frequency, it can be used to overcome the problems associated with long photon lifetime. In an exemplary embodiment, the EA modulator may be used to modulate the output beam **150** at up to 10 Gbits/sec.

Referring now to Figure 5 and preceding figures and descriptions as needed, there is seen an embodiment in which a position sensing detector (PSD) is used for servo-control of the actuator. In the embodiment of Figure 5, a PSD is used to measure the angle of a reference beam of light that is reflected from the reflector **104**. The signal from the PSD is used in a servo loop to set the voltage on the actuator **105**. An advantage of this embodiment is that the wavelength of the reference beam can be matched to the sensitivity of commercially available PSDs.

Referring now to Figure 6 and preceding figures and descriptions as needed, there is seen a third embodiment in which a PSD is used for servo control. In the embodiment of Figure 6, the grating **103** comprises wide enough grooves such that both first and second order diffracted output beams are produced from the beam **150**. Either the first order or the second order beam can be directed to the PSD to find the angle of the reflector **104**.

Referring now to Figure 7, and preceding figures and descriptions as needed, there is seen a second embodiment in which a PSD is used for servo control. In the embodiment of

Figure 7, the first order diffracted beam is reflected from the grating **103** after reflection by the mirror **104** and is measured by a PSD to measure the wavelength of the output beam **150**. The signal from the PSD is used in a servo loop to set the voltage of the actuator **105**. It is understood that in the embodiments of Figures 5-7, the signal from the PSD can also be used for  
5 servo control of the power of the laser **101**.

In an alternative embodiment to those of Figures 5-7, a capacitance measurement of the actuator **105** can be used as an indication of the position of the attached reflector **104**. As discussed previously, movement of the reflector **104** determines the output wavelength of the  
10 ECL **100**. The present invention identifies that the movement can be measured as a capacitance change in the actuator **105**. In this embodiment, the output wavelength vs. the capacitance of the actuator **105** may be measured, and capacitance sensing electronics comprising a servo-loop may be used to maintain the position of the actuator **105** (and therefore the laser wavelength) fixed over time. This method of servo control can be implemented at low cost and does not  
15 require extra optical components. Because the capacitance of the actuator **105** and performance of the capacitance-sensing electronics are temperature dependent, a thermo-electric cooler (TEC) may need to be used to stabilize the temperature of the ECL **100**.

In yet another alternative embodiment to those of Figures 5-7, the wavelength vs. capacitance behavior of the actuator **105** may be measured at a number of different temperatures.  
20 In this embodiment, a thermistor could be used to measure temperature, which in turn could be used to determine which values to use for servo control. In an exemplary embodiment, a stability of better than 1 part in 1000 is achievable with capacitance sensing.

Referring now to Figure 8 and preceding figures and descriptions as needed, there is  
25 seen an embodiment of a wavelength locker as used with the present invention. The present invention identifies that in an alternative approach to that of Figures 5-7, a wavelength locker may be used to stabilize the wavelength of the ECL **100**. For a discussion of wavelength locking techniques, see "Wavelength lockers keep lasers in line," Photonics Spectra, February 1999, pp. 104-110 by Ed Miskovic. Similar techniques can be used to stabilize the wavelength  
30 of the present invention. The error signal from the wavelength locker may be used in a servo loop to set the voltage applied to the actuator **105**. In the embodiment of Figure 8, the

wavelength locker is external to the ECL 100 and a monitor signal is split off from the output beam 150 by an optical beam splitter. The disadvantage of this approach is that the output beam 150 intensity is reduced.

5 Referring now to Figure 9, and preceding figures and descriptions as needed, there is seen another embodiment of a wavelength locker as used with the present invention. In the embodiment of Figure 9, light from the rear facet of the laser 101 is directed to the wavelength locker, which may or may not be located within the ECL 100 itself. In the embodiments of Figure 8 and 9, the present invention identifies that the wavelength locker can also be used to  
10 servo control the power of the laser 101.

Referring now to Figure 10, and preceding figures and descriptions as needed, there is seen another embodiment of a wavelength locker as used with the present invention. In an embodiment in which the wavelength of the output beam 150 of at least one ECL 100 needs to  
15 be checked for stability only intermittently, the present invention identifies that a single wavelength calibrator/locker 108 can be shared to maintain a particular wavelength of a particular ECL 100. In the embodiment of Figure 10, a 1xN switch is used to direct a monitor signal from a ECL 100 to the locker 108. Elimination of N-1 wavelength calibrators/lockers 108 represents a significant cost saving.

20 Referring now to Figure 11, and preceding figures and descriptions as needed, there is seen a detailed view of a mask layout for the MEMS based actuator 105 of the present invention.. In the preferred embodiment, rotation of the actuator 105 about the virtual pivot point 108 acts to rotate and translate the mirror 104 such that the external optical cavity is maintained with a constant  
25 length over the entire rotation angle of the actuator. The present invention identifies that changes in the geometrical relationship between the components comprising the ECL 100 may change due to temperature and/or mechanical effects and that, in doing so, the optical path length of the external optical cavity and thus the wavelength of the output beam 150 may change. As is discussed below, the actuator 105 is designed to provide a mechanism which compensates for  
30 these changes.

In the preferred embodiment, the actuator **105** is manufactured from the mask shown in Figure 11 using well known micro-machining process steps. The actuator **105** comprises: a silicon substrate **121**, two sets of comb drive elements **111**, bars **128**, suspended trusses **125**, suspension beams **110**, a suspended frame **126**, flexural couplers **123**, and a suspended lever **122**. The silicon substrate **121** comprises etched features for receiving the laser **101**, the lens **102**, and the diffraction grating **103**. Each of the comb drive elements **111** comprises two sets of interlocking teeth **127**. The interlocking teeth **127** comprise a plurality of fixed teeth that are coupled by a respective bar **128** to the silicon substrate **121**, and a plurality of movable teeth that are coupled to a respective movable truss **125**. The bars **128** are coupled through respective electrical connections to respective bond pads **129-133**. Although it is preferred that the individual teeth **127** comprising the comb drive elements **111** lie on circumferential arcs centered about the pivot point **108**, it is not necessary for the ends of the teeth **127** to lie along radial lines extending from the center of rotation. The ends of some of the teeth **127** may be arranged to lie along a line that does not pass through the center of rotation, which would allow the bars **128** to be made with added thickness along the ends that point towards the pivot point **108** and yet sufficient electrical isolation air-gap therebetween. Each of the trusses **125** is suspended by respective suspension beams **110**. The suspension beams **110** are coupled to the suspended frame **126**, which is attached at its ends to the substrate **121** by two sets of flexural couplers **123**. One of the flexural couplers **123** serves as an electrical ground connection to the upper bond pad **129**. The other flexural coupler is attached to the suspended lever **122**. The trusses **125**, the suspensions **110**, the frame **126**, and the lever **122** are all suspended above the substrate **121**. The reflector **104** is attached to a slot in one of the trusses **125** by a mating post, springs, adhesive, solder, or similar attachment means. In the exemplary embodiment, the reflector **104** is about 2 mm long by 400 um high. A reflective surface of the reflector **104** is perpendicular to the horizontal plane of the actuator **105**. The mass and size of the reflector **104** is taken into account by the design of the actuator **105**, which is designed to maintain mechanical stability.

In the preferred embodiment, a potential applied to bond pads **131** and **133** causes an electro-static potential to be created between the respective fixed and movable teeth of the comb drive elements **111**, which causes the trusses **125** to rotate clockwise about the virtual pivot point **108**. A potential applied to bond pads **130** and **132** causes the trusses **125** to rotate counter-clockwise. In the preferred embodiment, when the lever **122** is moved (for example,

manually or by other movement means such as micro-machined actuator or the like) the coupler 123 that is attached to the lever 122 rotates around a point near its center. The opposite coupler 123 that is not connected to the lever 122 causes the small rotation of the first coupler to be converted into a translational motion along an axis extending through the two couplers. By arranging the couplers 123 to be generally parallel to the optical axis of the external optical cavity, motion of the lever 122 can be used to adjust the external optical cavity length independent of the rotation of the actuator 105. The adjustments can be made as required to compensate for changes in temperature or variations in the optical cavity length, or to compensate for small offsets in the virtual pivot point 108.

The present invention takes into consideration that the comb drive elements 111 may become unstable and "snap-over" in the radial direction if the radial stiffness of the suspension beams 110 falls below a value equal to the derivative of the electrostatic force between the comb drive elements 111 with respect to radial motion, and that this instability becomes more severe with large, static angular deflection. Although folded beam suspension designs are known by those skilled in the art to provide large rotational range, they do so with a penalty of reduced out-of-plane and radial stiffness, which would work against the desired goal of maintaining mechanical stability. The present invention identifies a novel and new design that takes into consideration the limitations of folded beam designs and instead utilizes the "straight-beam" suspension beams 110 described above. As described above, the basic structure for the actuator 105 is to use 2 or more suspensions 110 that are radially disposed around the axis of rotation of the actuator 105. In the preferred embodiment, 2 or 3 beams are used and are spaced 20-30° apart with respect to the rotation axis. It is understood that if larger angles of rotation are desired, the size of the actuator 105 would be increased. In the preferred embodiment, the rotary comb drive elements 111 are arranged around the suspension beams 110, and can either be contained between the suspension beams, or connected outside the beams. If the comb drive elements 111 are arranged over an arc of about 120°, it may be advantageous to have three suspension beams 110 arranged at 60° spacing.

In the preferred embodiment, the actuator 105 is fabricated from a high aspect ratio process, which can also include plated metal processes, for example, Lithographie, Gavanometrie and Abformung (LIGA) process well known in the art. LIGA processing techniques result in structures that comprise vertical dimensions substantially greater than the horizontal width of the smallest

features of the actuator **105**. With these processes, the resulting stiffness of the actuator **105**, the motion of the actuator may be constrained to be substantially in the plane of the actuator.

Referring now to Figure 12, and preceding figures and descriptions as needed, there is  
5 seen a second mask layout for an actuator of the present invention. The layout of Figure 12 is similar to the layout of Figure 11, except that the virtual pivot point **108** location is changed and some aspects of the grating **103** and the angle of the reflector **104** with respect to the grating are slightly different.

10 Referring now to Figure 13, and preceding figures and descriptions as needed, a 3D view of the present invention including: laser diode **101**, lens **102**, grating **103**, reflector **104**, and output beam **150**, is shown. As seen in Figure 13, the output beam **150** is quite narrow along one axis, but the small incident angle of the beam on the grating **103** causes the diffracted beam to be extended along a perpendicular axis.

15 Referring now to Figure 14, and preceding figures and descriptions as needed, there is seen a module **106** incorporating the ECL **100**. The ECL **100** comprises a very small size and mass, which enables the use of simple closed-loop methods to control the components to accurately set and hold the wavelength of the output beam **150**. In contrast to the prior art,  
20 which may require novel laser structures, such as, for example, a long-wavelength vertical-cavity surface-emitting laser (VCSEL), the present invention can be implemented using a inexpensive Fabry-Perot laser as the laser **101**, which is readily available in large quantities at low prices. Use of a Fabry-Perot laser in the present invention is further beneficial because,  
25 unlike VCSELs, they can operate at long operating wavelengths, for example, up to and over 1700 nm, and in particular 1540nm, which is one wavelength currently used by telecommunications equipment.

Because the laser **101** and actuator **105** of the present invention can be made separately, the wafer fabrication processes for their manufacture can be made simpler, which can provide higher manufacturing yields than the prior art.

30 The present invention identifies that, other than in the embodiment described above in which capacitance sensing is used for servo control, the ECL **100** exhibits sufficient thermal



stability such that a thermo-electric cooler need not be used. This is an advantage because TE coolers can be relatively unreliable and are prone to fail.

Because the rotation angle of the MEMS actuator **105**, and hence the reflector **104**, can be held steady under simple closed loop control, the wavelength of the output beam **150** may also be held steady. Furthermore, unlike prior art tunable VCSELs, in which wavelength vs. actuator voltage must be re-calibrated as the laser ages, the stable dispersive properties of the diffraction grating **103** of the present invention do not change with age, such that after an initial calibration step, further calibration of the module **106** is not necessarily required. Even if in some embodiments the wavelength of the output beam **150** can not be held stable over the lifetime of the module **106**, the wavelength stability of the present invention is good enough such that only intermittent re-calibration is envisioned.

Although, the foregoing discussion has presented particular embodiments of the present invention, it is to be understood that the above description is not to be limited to only the described telecommunications application and embodiments. For example, other applications include: remote sensing or spectroscopy applications. It will also be appreciated by those skilled in the art that it would be possible to modify the size, shape, appearance and methods of manufacture of various elements of the invention, or to include or exclude various elements and stay within the scope and spirit of the present invention. Thus, the invention should be limited only by the scope of the claims as set forth below.